

|  |                  |  |
|--|------------------|--|
| First Named Inventor   | Mark J. Arcan    | <b><u>COMMUNICATION RE:<br/>INFORMATION DISCLOSURE<br/>STATEMENT AND 1449 FORM</u></b> |
| Serial No.   | 09/652,999       |  |
| Filing Date  | August 31, 2000  |  |
| Group Art Unit   | 2814             |  |
| Allowed  | May 3, 2004      |  |
| Examiner   | DiLinh P. Nguyen |  |
| Confirmation No.   | 6501             |  |
| Attorney Docket No.  | 400.153US03      |  |
| Title: CONTAINER CAPACITOR STRUCTURE AND METHOD OF FORMATION THEREOF |                  |  |

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Upon Applicant's review of the file after receipt of the Notice of Allowance and Fee(s) Due mailed May 3, 2004, it was noted that Applicant mailed a Third Supplemental Information Disclosure Statement and 1449 form to the U.S. Patent Office on September 6, 2001, and that the Form 1449 has not been initialed by the Examiner. Attached please find copies of the postcard, transmittal, Third Supplemental Information Disclosure Statement, Form 1449 and reference, which were certified as having been mailed on September 6, 2001.

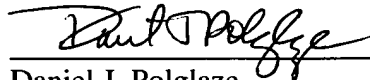
Applicant respectfully requests that this Third Supplemental Information Disclosure Statement be entered and the references listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to MPEP §609, Applicant further requests that the Examiner initial next to each reference on the Form 1449 to indicate that the listed references have been considered. Applicant further requests that a copy of the initialed Form 1449 be returned with the next official communication.

As this communication is being filed with a Request for Continued Examination, Applicant believes that no additional fees are due. However, the Commissioner for Patents is hereby authorized to charge any additional fees to Deposit Account No. 501373. If the Examiner

has any questions or concerns regarding this application, please contact the undersigned at the number listed below.

Respectfully submitted,

Date: 2 August 2004



Daniel J. Polglaze  
Reg. No. 39,801

Attorneys for Applicant  
Leffert Jay & Polglaze, P.A.  
P.O. Box 581009  
Minneapolis, MN 55458-1009  
Telephone 612-312-2200  
Facsimile 612-312-2250



Please indicate receipt of the below identified papers:

☒ 3 page response to the Office Action dated 6/6/01

☒ Other:

Fee transmittal sheet (in duplicate),  
3d Supplemental IDS, Form 1449 (in duplicate), citation,  
return receipt postcard.

Mailing date: 9/6/01

☒ Certificate of Timely Mailing

☐ Express mail Certificate No. \_\_\_\_\_

**Identification of Application:**

☐ New Application ☐ Continuation

☐ Divisional

☐ CIP

Title: CONTAINER CAPACITOR STRUCTURE AND METHOD OF FORMATION THEREOF

Inventor(s): Durcan et al.

Micron File No: 98-1068.02

Application Serial No. 09/652,999

Attorney/Agent: Charles Brantley

*Please Return Postcard*



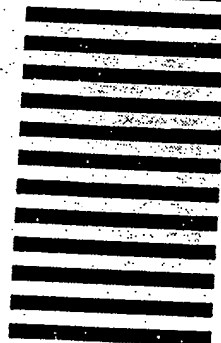
NO POSTAGE  
NECESSARY  
IF MAILED  
IN THE  
UNITED STATES



POSTAGE WILL BE PAID BY ADDRESSEE

**Micron**

MS 525  
PO BOX 6  
BOISE, IDAHO 83707-9845



**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$)

180

Application Number

09/652,999

Filing Date

8/31/00

First Named Inventor

D. Mark Durcan et al.

Examiner Name

DiLinh Nguyen

Group / Art Unit

2814

Attorney Docket No.

98-1068.02

## METHOD OF PAYMENT (check one)

1. ☒

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

13-3092, Order No. 98-1068.02

Deposit  
Account  
Name

Micron Technology, Inc.

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17
- 
- ☐
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|
| 101                  | 710                   | 201                  | 355                   | Utility filing fee     |
| 106                  | 320                   | 206                  | 160                   | Design filing fee      |
| 107                  | 490                   | 207                  | 245                   | Plant filing fee       |
| 108                  | 710                   | 208                  | 355                   | Reissue filing fee     |
| 114                  | 150                   | 214                  | 75                    | Provisional filing fee |

Fee Paid

SUBTOTAL (1)

(\$ 0)

## 2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |
|-----------------------|-----------------|-------------------|-------------|
| 1                     | 20              | 18                | 0           |
| Independent<br>Claims | 1               | 3                 | 0           |
| Multiple<br>Dependent |                 |                   | 0           |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 80                    | 202                  | 40                    | Independent claims in excess of 3                          |
| 104                  | 270                   | 204                  | 135                   | Multiple dependent claim, if not paid                      |
| 109                  | 80                    | 209                  | 40                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 0)

## FEE CALCULATION (continued)

| 3. ADDITIONAL FEES |                       |          |                       |  | Continued |  |
|--------------------|-----------------------|----------|-----------------------|--|-----------|--|
| Fee Code           | Large Entity Fee (\$) | Fee Code | Small Entity Fee (\$) | Fee Description  | Fee Paid  |  |
| 105                | 130                   | 205      | 65                    | Surcharge - late filing fee or oath  |           |  |
| 127                | 50                    | 227      | 25                    | Surcharge - late provisional filing fee or cover sheet.                    |           |  |
| 139                | 130                   | 139      | 130                   | Non-English specification  |           |  |
| 147                | 2,520                 | 147      | 2,520                 | For filing a request for reexamination                                     |           |  |
| 112                | 920*                  | 112      | 920*                  | Requesting publication of SIR prior to Examiner action                     |           |  |
| 113                | 1,840*                | 113      | 1,840*                | Requesting publication of SIR after Examiner action                        |           |  |
| 115                | 110                   | 215      | 55                    | Extension for reply within first month                                     |           |  |
| 116                | 390                   | 216      | 195                   | Extension for reply within second month                                    |           |  |
| 117                | 890                   | 217      | 445                   | Extension for reply within third month                                     |           |  |
| 118                | 1,390                 | 218      | 695                   | Extension for reply within fourth month                                    |           |  |
| 128                | 1,890                 | 228      | 945                   | Extension for reply within fifth month                                     |           |  |
| 119                | 310                   | 219      | 155                   | Notice of Appeal   |           |  |
| 120                | 310                   | 220      | 155                   | Filing a brief in support of an appeal                                     |           |  |
| 121                | 270                   | 221      | 135                   | Request for oral hearing   |           |  |
| 138                | 1,510                 | 138      | 1,510                 | Petition to institute a public use proceeding                              |           |  |
| 140                | 110                   | 240      | 55                    | Petition to revive - unavoidable   |           |  |
| 141                | 1,240                 | 241      | 620                   | Petition to revive - unintentional   |           |  |
| 142                | 1,240                 | 242      | 620                   | Utility issue fee (or reissue)   |           |  |
| 143                | 440                   | 243      | 220                   | Design issue fee   |           |  |
| 144                | 600                   | 244      | 300                   | Plant issue fee  |           |  |
| 122                | 130                   | 122      | 130                   | Petitions to the Commissioner  |           |  |
| 123                | 130                   | 123      | 130                   | Petitions related to provisional applications                              |           |  |
| 126                | 180                   | 126      | 180                   | Submission of Information Disclosure Stmt                                  | 180       |  |
| 581                | 40                    | 581      | 40                    | Recording each patent assignment per property (times number of properties) |           |  |
| 146                | 710                   | 246      | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |           |  |
| 149                | 710                   | 249      | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           |           |  |
| 179                | 710                   | 279      | 355                   | Request for Continued Examination (RCE)                                    |           |  |
| 169                | 900                   | 169      | 900                   | Request for expedited examination of a design application                  |           |  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

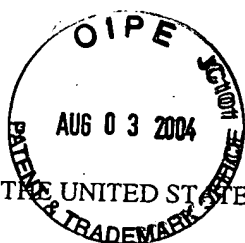
(\$ 180)

## SUBMITTED BY

| Name (Print/Type) | Charles Brantley | Registration No. Attorney/Agent) | 38,086 | Telephone | (208) 368-4557 |
|-------------------|------------------|----------------------------------|--------|-----------|----------------|
| Signature         | Charles Brantley |                                  |        | Date      | 9/6/1          |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: D. Mark Durcan, et al.

Serial No.: 09/652,999

Filed: August 31, 2000

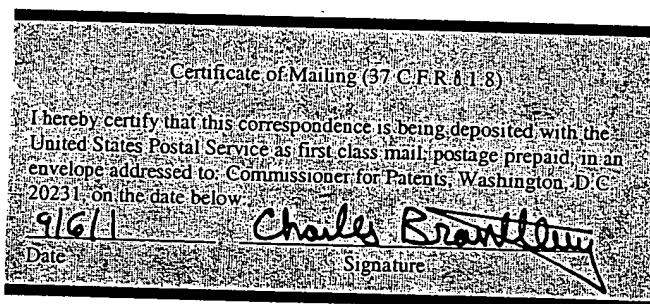
For: CONTAINER CAPACITOR STRUCTURE AND  
METHOD OF FORMATION THEREOF

§  
§ Group Art Unit: 2814  
§  
§ Examiner: DiLinh Nguyen  
§  
§ Atty. Docket: 98-1068.02  
§  
§  
§  
§

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:



In compliance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants respectfully request that this Information Disclosure Statement be entered and that the reference listed on the attached Form PTO-1449 be considered by the Examiner and made of record. A copy of the listed reference is enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. § 1.97(b), this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possible material information as defined in 37 C.F.R. § 1.56(a) exists.

The following reference is submitted for the Examiner's review:

U.S. Patent

| U.S. Patent No. | Issue Date | Inventor |
|-----------------|------------|----------|
| 5,885,864       | 3/23/99    | Ma       |

This Information Disclosure Statement is being submitted after the mailing of the first Office Action, but before the mailing of a Final Rejection or Notice of Allowance. The Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) of \$180 and any additional fees which may be required to Micron Technology Inc. Deposit Account No.13-3092, Order No. 98-1068.02.

If there are any matters which may be resolved or clarified through telephone interview, the Examiner is respectfully requested to contact Applicants' undersigned attorney at the number indicated.

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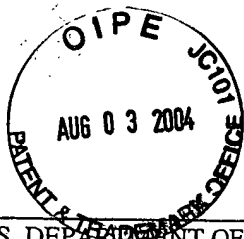
A Form PTO-1449 is enclosed herewith.

Date: 9/6/11

Respectfully submitted,

*Charles Brantley*

Charles Brantley  
Reg. No. 38,086  
Micron Technology, Inc.  
8000 S. Federal Way  
Boise, ID 83716-9632  
(208) 368-4557  
ATTORNEY FOR APPLICANTS



Sheet: 1 of: 1

|                                   |  |  |                          |
|-----------------------------------|--|--|--------------------------|
| FORM: PTO-1449<br>(REV: 7-80)     | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><b>SECOND SUPPLEMENTAL<br/>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b><br>(37 CFR 1.98(b)) | Atty Docket No:<br><b>98-1068.02</b>       | Serial No:<br>09/652,999 |
|                                   |  | Applicant:<br><b>D. Mark Durcan et al.</b> |                          |
| (use several sheets if necessary) |  | Filing Date:<br><b>8/31/00</b>             | Group:<br>2814           |

## U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS

| Examiner<br>Initial | Document<br>Number | Date      | Name    | Class | Subclass |     |
|---------------------|--------------------|-----------|---------|-------|----------|-----|
|                     | AA                 | 5,885,864 | 3/23/99 | Ma    | 438      | 253 |
|                     | AB                 |           |         |       |          |     |
|                     | AB                 |           |         |       |          |     |
|                     | AC                 |           |         |       |          |     |
|                     | AD                 |           |         |       |          |     |
|                     | AE                 |           |         |       |          |     |
|                     | AF                 |           |         |       |          |     |
|                     | AG                 |           |         |       |          |     |
|                     | AH                 |           |         |       |          |     |
|                     | AI                 |           |         |       |          |     |
|                     | AJ                 |           |         |       |          |     |
|                     | AK                 |           |         |       |          |     |

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initial | Document<br>Number | Date | Country | Class | Subclass | Translation              |                          |
|---------------------|--------------------|------|---------|-------|----------|--------------------------|--------------------------|
|                     | AL                 |      |         |       |          | Yes                      | No                       |
|                     | AM                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|                     | AN                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|                     | AO                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|                     | AP                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |
|                     | AQ                 |      |         |       |          | <input type="checkbox"/> | <input type="checkbox"/> |

## OTHER ART (including author, title, date, pertinent pages, etc.)

| Initial |    |  |
|---------|----|--|
|         | AR |  |
|         | AS |  |
|         | AT |  |

|           |                  |
|-----------|------------------|
| Examiner: | Date Considered: |
|-----------|------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.